## **PUBLICATION SUPPORT**

The College of Letters and Science with the co-sponsorship of the Office of Research, offers financial support to current senate faculty for publishing their research.

There are two kinds of support. <u>Publication assistance</u> defrays costs for the author that would not normally be covered by the publisher; examples include indexing, permissions, artwork, translations, etc.

**Publication subvention** provides funding to the publisher to subsidize the publication of scholarly books and monographs. Books must be of an excellent scholarly quality representative of University of California standards and published by major universities and trade presses.

#### Application:

- 1. Brief letter to the Associate Dean, Mani Tripathi with a description of the book
- 2. Budget

Note: For publication subvention, we need a summary of projected publication revenues and costs, including print run, list price, net price, projected sales and anticipated royalties; anticipated royalties will be subtracted from the required amount of subvention to ensure that the State of California is not in the position of funding publication costs that will be partially reimbursed to the author through royalties.

- 3. Contract for publication and any relevant correspondence
- 4. Application form (see pdf), signed by the chair/director

Send the application to the L&S Executive Analyst, Mark Sablay (adassistant@ucdavis.edu).

The Associate Dean, in consultation with the chair, will decide whether to support the request and commit the Dean's office portion of funding. If funding dollars are limited, priority will be given to those faculty members who have not previously received publication subvention and/or publication assistance.

The request will then be forwarded by the Associate Dean to the Vice Chancellor of Research for further consideration and approval. After final approval from the Vice Chancellor of Research, the Dean's office will send you a letter and transfer funds to your department. The process takes approximately 3-4 weeks.

#### **IMPORTANT:**

Please note that <u>prior approval is needed</u> for payments to publishers and/or independent contractors. Writing personal checks for such services risks the possibility of not being reimbursed. Please contact the CAO/MSO/business office staff in your unit for guidance on publication support spending.



# PUBLICATION ASSISTANCE FUND GUIDELINES

This program assists ladder faculty, particularly those early in their career, with the publication of books or monographs and exhibition or performance of works of art representative of University of California standards.

- The intent of the fund is to defray certain costs that would not normally be covered by the publisher, thus enhancing the possibility of the work to be published.
- The maximum total funding is \$3000 per original work.
- This amount is split 50/50 between the Office of Research and the dean's office(s) of the participating school/college/division(s).
- Preference is given to junior faculty.

Criteria for publication assistance fund:

• Approval from the administrative dean (noting the dean's concurrence of the school/college/division's portion of funding) must be received prior to submission to the Vice Chancellor for Research.

## How to apply

- 1. Complete the Office of Research **Internal Research Funding Programs Application Form** located at <u>http://research.ucdavis.edu/wp-content/uploads/InternalResearchFundingForm.docx</u>.
- In addition to your application, it is necessary to include all of the following:
  - 1. Contract for publication
  - 2. Evidence of applications, where appropriate, to other organizations and foundations for publication assistance grants
  - 3. Summary of projected publication revenues and costs; including print run, list price, net price, projected sales and anticipated royalties
- 3. Submit all to <u>ORBusiness@ucdavis.edu</u>

### Award

The Office of Research requires **up to 30 days** to respond to the matching request from the Dean's Office. If approved, the Vice Chancellor will issue a formal commitment letter. Publication Assistance Funds are not transferable.

If you have questions regarding Office of Research Internal Research Funding Programs, please contact ORBusiness@ucdavis.edu



## INTERNAL RESEARCH FUNDING PROGRAMS – APPLICATION FORM

 INSTRUCTIONS:
 See Program Guidelines for Details

 Principal Investigator Bridge Program:
 http://research.ucdavis.edu/wp-content/uploads/BridgeProgramGuidelines.pdf

 Publication Assistance Program:
 http://research.ucdavis.edu/wp-content/uploads/PubAssistanceGuidelines.pdf

 All Others:
 http://research.ucdavis.edu/wp-content/uploads/MatchFundsProgramGuidelines-revised-02.2012.pdf

Submit this form to the Office of Research with additional required documents as necessary.

Email (preferred):ORBusiness@ucdavis.eduMail:Office of Research, Attn: Business & Finance, 1850 Research Park Drive, Davis, CA 95618

#### FUNDING PROGRAM REQUESTED: (Please check one)

Equipment Match
<b>Basic Research Grants</b>

Cost Sharing
 PI Bridge Program

Multidisciplinary Proposal Support
 Publication Assistance Fund

**NOTE:** Additional internal research funding programs are administered by the Academic Senate Committee on Research <a href="http://academicsenate.ucdavis.edu/grants\_awards/index.html">http://academicsenate.ucdavis.edu/grants\_awards/index.html</a>

Name:	Title:	E-mail:	Phone:	
PRINCIPAL INVESTIGATOR				
Name: CO-PRINCIPAL INVESTIGATOR	Title:	E-mail:	Phone:	
Name: CO-PRINCIPAL INVESTIGATOR	Title:	E-mail:	Phone:	
CO-PRINCIPAL INVESTIGATOR				
Administrative Unit:		Contact name:		
E-mail:				
School/College:				
Administrative Unit:		Contact name:		
E-mail:				
School/College:				
PROJECT INFORMATION: (Comple	te all fields appli	cable to requested fundin	a program)	
Title of Project:				
	Publis	hed mandatory	Mandatory	
Total amount of project: \$	cost sł	naring %:	cost sharing: \$	
Sponsor/Agency:				
Sponsor/Agency: Program Announcement or RFP solici	tation name and #	ŧ:		

Duration of time bridge funding is required:

**COST SHARING TO BE PROVIDED BY OTHERS:** (When applicable)

Specify School, College, Division, Research Unit, 3<sup>rd</sup> Party, or other

\$ In-kind or contributed effort: (specify):
\$ In-kind or contributed effort: (specify):
\$ In-kind or contributed effort: (specify):

**NOTE:** If cost sharing is to be provided by School/College, Research Unit, or Third Parties, each must provide a letter of support. For the PI Bridge Program, Dean's signature is sufficient to confirm support from that School/College.

Department fund source:

Dean's Office fund source (if applicable):

Please contact ORBusiness@ucdavis.edu for funding source questions

COMPLETE IF REQUESTING MATCHING FUNDS:

If funding is requested for renovation of an existing facility or a new facility check here  $\Box$  and please describe:

Project Summary/Justification: (See specific program guidelines for additional required information)

List of equipment and cost: (Required for Equipment Match)

#### SIGNATURES OF APPROVAL

Principal Investigator:		Date:	
	Name	Signature	
Department Chair:			Date:
	Name	Signature	
Dean:			Date:
	Name	Signature	